

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KÖSTER, Hubert et al.

Serial No. 09/484,484

Filed: January 18, 2000

·For: SOLUTION PHASE

BIOPOLYMER SYNTHESIS

Art Unit: 1623

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an

envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231, on this date.

05/23/00 Date

e Robert Wickman

REQUEST FOR CORRECTED FILING RECEIPT

TECH CENTER TO 10

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

Under APPLICANT(S), please insert these names:
HUBERT KÖSTER, LA JOLLA, CA; RALPH WÖRL, HAMBURG GERMANY

Applicant submits that the above-indicated error is an error made on the part of the Office, rather than by Applicant, and respectfully requests that this error be corrected and Applicant be provided a corrected filing receipt. Attention is directed to the attached copy of the Declaration and Power of Attorney which indicates the fact that RALPH WÖRL is a joint inventor on this application.

U.S.S.N. 09/484,484 Köster, Hubert REQUEST FOR CORRECTED FILING RECEIPT

The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

Ву:

Stephanie Seidman Registration No. 33,779

SLS/rmw
Attorney Docket 24743-2301B
Address all correspondence to:
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4250 Executive Square, 7th Floor
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Telephone: (858) 450-8400

E-mail: sseidman@HEWM.com

# FILING RECEIPT

\*OC000000004975643\*



UNITED STATES DEPARTMENT OF COMMERCE n Patent and Trademark Office

Address: ASSISTANT SECRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

	APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
L.	09/484,484	01/18/2000	1623	867	24743-2301B		49	3	

Stephanie Seidman Heller Ehrman White and McAuliffe 7th Floor 4250 Executive Square La Jolla, CA 92037-9103

Date Mailed: 02/25/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

	Team : OIPE	Date: 02/25/2000
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Class		
Solution Phase Biopolymer	r Synthesis	
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THIS APPLICATION IS A C	CON OF 09/067,337 04/27/1998	<b>1</b> .•
Data as Claimed by Applica	ant	
Hubert Koster, La Jolla, C	A: RAIT WORL, HAMBY	og Germany
ו	Hubert Koster, La Jolla, C  Data as Claimed by Applic  THIS APPLICATION IS A collications  In glicense granted on 02/25  Solution Phase Biopolyme  Class	Hubert Koster, La Jolla, CA; (ALL LOCKE, HAPPE)  Data as Claimed by Applicant  THIS APPLICATION IS A CON OF 09/067,337 04/27/1998  DUE: DUE: DROP  In glicense granted on 02/25/2000  Solution Phase Biopolymer Synthesis  Class  536

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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#### NOT GRANTED

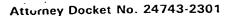
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They
  are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231





the specification of which

( )

## **DECLARATION FOR PATENT APPLICATION**

As below-named inventors, we hereby declare that:

is attached hereto.

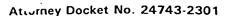
Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### SOLUTION PHASE BIOPOLYMER SYNTHESIS

(x)	was filed by 09/067,337		behalf on April 27, 1998 as Application Serial No.
( )		ed on	• •
We hereby s	tate that we ha	ave reviewed and understand nended by any amendment	d the contents of the above-identified specification, referred to above.
We acknowlin accordance	ledge the duty ce with Title 3	to disclose information which to disclose information which the state of Federal Regulaters.	ch is material to the examination of this application ions, §1.56(a).
any foreign any PCT into America, list certificate of	application(s) fernational app ted below, and or PCT internat	for patent or inventor's certi lication that designated at l I we have also identified bel ional application on this in	85, United States Code, §119(a)-(d) or §365(b) of ficate listed below and so identified, or §365(a) of least one country other than the United States of least ow any foreign application for patent or inventor's vention filed by us or our legal representatives or oplication on which priority is claimed.
			Priority Claimed
<u>Number</u> N/A	Country	Day/Month/Year Filed	(Yes or No)
-	claim benefit u s) listed below		es Code, §119(e) of any United States provisional
Application N/A	Serial No.	Filing Date	

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:



Application Serial No. N/A	<u>Filing Date</u>	Status
PCT Application No. N/A	Filing Date	<u>Status</u>

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to Stephanie Seidman, HELLER EHRMAN WHITE & McAULIFFE, 4250 Executive Square, 7th Floor, La Jolla, California 92037-9103:

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Barry S. Wilson	39,431			
Dale L. Rieger	43,045			

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Bib Data Sheet



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBE 09/484,484	<b>ER</b>	FILING DATE 01/18/2000 RULE _	CLASS 536		GRO	OUP ART UNIT 1623		ATTORNEY DOCKET NO. 24743-2301B	
APPLICANTS  Hubert Koster, La Jolla, CA ;  Ralph Worl, Hamburg, GERMANY;									
** CONTINUING DATA **********************************									
		TIONS ***********					JUL 13		
IF REQUIRED, FO ** 02/25/2000	OREIO	SN FILING LICENSE (	GRANTE	D ** SMALL E	NTITY	YES:	, C., C.ER	1600/0	200
Foreign Priority claimed  35 USC 119 (a-d) conditions									
ADDRESS  Stephanie Seidman Heller Ehrman White and McAuliffe 7th Floor 4250 Executive Square La Jolla ,CA 92037-9103									
TITLE									
Solution Phase Biopolymer Synthesis									
☐ All Fees									
		ES: Authority has been given in Paper to charge/credit DEPOSIT ACCOUNT for following:				1.16 Fees ( Filing )			
FILING FEE F	EES:				NT	1.17 Fees ( Processing Ext. of time )			
606	No					☐ 1.18 Fees ( Issue )			
						Other			
						Credit			